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PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Raymond Yeh  
Cornelius F.X. Kenney, V

Serial No.: 10/027,788

Filed: December 20, 2001

For: FINANCIAL TRANSFER MODELING  
EDITOR SYSTEM AND METHOD

Group Art Unit: 2161

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CERTIFICATE OF MAILING  
37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

May 20, 2002

Date

Erik R. Nordstrom

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Fulbright & Jaworski Deposit Account No.: 50-1212/10200917/ERN.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



Erik R. Nordstrom  
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Attorney for Applicants

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Date: May 20, 2002

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Form PTO-1449 (modified)

Atty. Docket No.

Serial No.

ZERO:001US

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**List of Patents and Publications for Applicant's**

Applicant

Raymond Yeh

Cornelius F.X. Kenney, V

Filing Date:

December 20, 2001

Group:

2161

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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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**U.S. Patent Documents**

*See Page 1*

**Foreign Patent Documents**

*See Page 1*

**Other Art**

*See Page 1*

**U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.

**Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

**Other Art (Including Author, Title, Date Pertinent Pages, Etc.)**

Exam. Init.	Ref. Des.	Citation
	C1	PC-Fun2 V1.2.1 User Manual, Chapters 1-6: 1-1—6-18, January 1998.

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25166584.1

**EXAMINER:**

**DATE CONSIDERED:**

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.